

Internal Audit Plan: (Draft) 2018/19

Cheshire Fire Authority / Fire & Rescue Service

Executive Summary

Your Risk Assessment

A strong risk assessment underpins the Internal Audit Plan. We have clearly set out the risks which have been prioritised within the audit plan and those which are not.

Your Internal Audit Plan

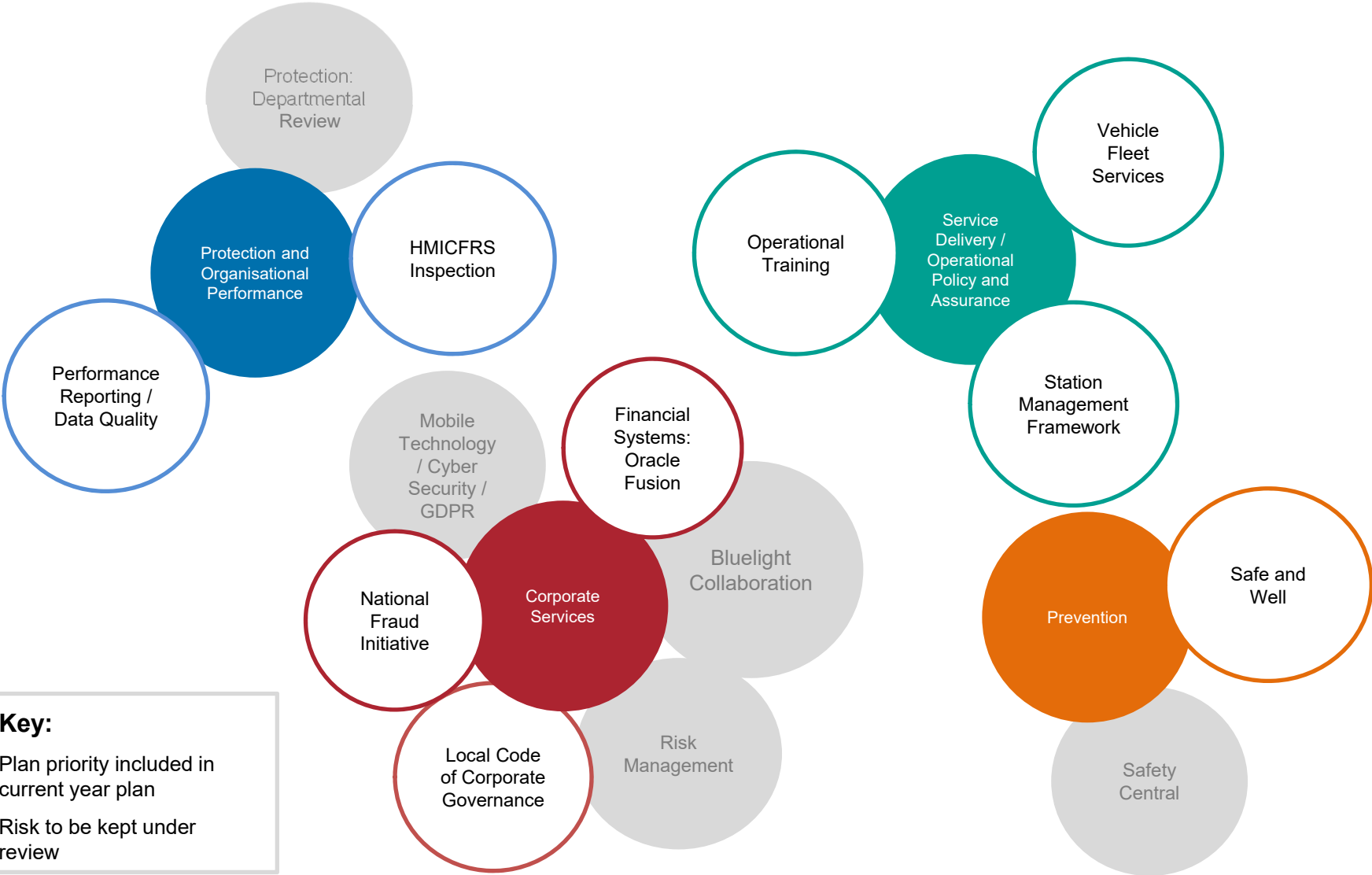
Your internal audit plan includes core assurances, national and local risk areas, strategic risks from your Integrated Risk Management Plan and management requests. Your fees for 2018/19 are £27,900.

Your Team

Your team will be led by Steve Connor, Engagement Lead and Kevin Lloyd, Engagement Manager. We can confirm sufficient resources, including specialist expertise have been established to delivery your plan.

2. Your risk assessment

Your Proposed Internal Audit Plan is built from your strategic risk assessment. We have highlighted below the reviews prioritised within your internal audit plan for 2018/19 following our risk assessment; the remaining areas will be kept under review.



3. Your internal audit plan

Planning Approach

- Risk Assessment of the external environment, system and organisation (including the Integrated Risk Management Plan).
- Engagement of Service Management Team to influence the plan.
- Use of MIAA's client risk database to inform planning.
- Coverage of Critical Business Systems to support organisation's objectives through the strategic internal audit plan.
- Provision of sufficient resources and expertise.
- Compliance with Public Sector Internal Audit Standards.

Your Plan

Protection and Organisational Performance

Assurance on the processes and data quality which supports organisational performance reporting. Advisory/Call off time to support on preparation for the HMICFRS inspection

Corporate Services

To provide assurance on the procedures and controls relating to Oracle Fusion. Data analytics will underpin your financial systems assurance providing whole population coverage. we will also provide support on the completion of your Local Code of Corporate Governance and in your involvement in the National Fraud Initiative.

Service Delivery / Operational Policy and Assurance

Assurance on key activities of the Service through delivery of Operational Training and the Station Management Framework. We will also provide assurance on Vehicle Fleet Services.

Prevention

Assurance on the the identification, management and delivery of Safe and Well Visits including the sharing and management of data held.

Follow up and Contingency

Follow Up, Contingency

Outcomes

Head of Internal Audit Opinion to inform the AGS

Assignment assurance levels

The use of data analytics in a range of ways in how we gather, analyse and present data.

Insight driven dynamic reporting through tools such as heat maps and infographics.

Recommendations, advice, and guidance to enhance the control environment

Insights through briefings.

3. Your internal audit plan

Review	Frequency	Rationale	Planned Delivery	Service Management Lead
Combined Financial Systems: Oracle Fusion	Annual	Mandated Review	Q3-4	Head of Finance
National Fraud Initiative	Biannual	Management Request	Q3	Head of Finance
Vehicle Fleet Services	Ad Hoc	Cyclical Assurance	Q2	Deputy Chief Fire Officer / Head of Operational Policy & Assurance
HMICFRS	Ad Hoc	IRMP / Risk Assessment	Call Off Time	Deputy Chief Fire Officer / Head of Protection & Organisational Performance
Operational Training	Ad Hoc	IRMP / Risk Assessment	Q1	Deputy Chief Fire Officer / Head of Operational Policy & Assurance
Station Management Framework	Ad Hoc	IRMP	Q3	Deputy Chief Fire Officer / Head of Service Delivery
Safe and Well	Ad Hoc	IRMP / Risk Assessment	Q1	Deputy Chief Fire Officer / Head of Prevention
Performance Reporting / Data Quality	Ad Hoc	IRMP / Cyclical Assurance	Q2	Deputy Chief Fire Officer / Head of Protection & Organisational Performance
Local Code of Corporate Governance	Annual	Management Request	Q4	Director of Governance & Commissioning
Follow Up	Annual	PSIAS requirement	Q4	Director of Governance & Commissioning

The Internal Audit Risk assessment and plan will be reviewed on an ongoing basis throughout the year and any requests for change discussed and approved via the Audit Committee. A formal 6 month review of the plan will also take place.


4. Your team


Your team is led by a designated engagement lead and engagement manager, who will bring together a highly qualified team and range of specialists to deliver your internal audit plan.



Steve Connor
Engagement Lead

Bringing strategic oversight and insights to you


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Kevin Lloyd
Engagement Manager

Providing operational management and delivery of your service

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Appendix A 3 Year Strategic Audit Plan

Your 3 year strategic audit plan is set out below.

2016/17

- Combined Financial Systems
- National Fraud Initiative
- Insurance Arrangements
- Station Management Framework
- On Call Availability
- Partnership Arrangements
- Site Specific Risk Information
- Local Code of Corporate Governance

2017/18

- Combined Financial Systems
- Station Management Framework
- GDPR: Preparedness
- Cyber Security
- Fire Safety Audits
- Business Continuity
- Equality and Diversity: Recruitment
- Local Code of Corporate Governance

2018/19

- **Combined Financial Systems: Oracle Fusion**
- **National Fraud Initiative**
- **Operational Training**
- **Vehicle Fleet Services**
- **Station Management Framework**
- **Safe and Well Visits**
- **HMICFRS Inspection**
- **Performance Reporting / Data Quality**
- **Local Code of Corporate Governance**